

Docket No. 217688US0/shb/atp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Makoto WATANABE, et al.

SERIAL NO: 10/022,772

GAU: 1755

FILED: December 20, 2001

EXAMINER:

FOR: TRANSITION METAL COMPOUND, COORDINATIVE COMPOUND, CATALYST FOR POLYMERIZATION OF OLEFIN, AND PROCESS FOR POLYMERIZATION OF OLEFIN USING THE CATALYST

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231



SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No.

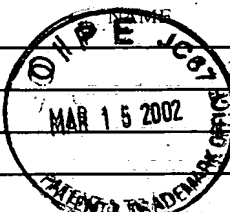
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 217688US0		SERIAL NO. 10/022,772	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Makoto WATANABE, et al.			
				FILING DATE December 20, 2001		GROUP 1755	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AG	WO 97/02298	01/23/97	WIPO			
	AH	WO 96/23010	08/01/96	WIPO			
	AI	0 990 664	04/05/2000	EUROPE			
	AJ	9-278823	10/28/97	JAPAN (with English Abstract and corr. EP 0 893 455)			X
	AK	0 893 455	01/27/99	EUROPE			
	AL	9-272709	10/21/97	JAPAN (with English Abstract)			X
	AM	9-272713	10/21/97	JAPAN (with English Abstract)			X
	AN	9-278821	10/28/97	JAPAN (with English Abstract)			X
	AO	9-27822	10/28/97	JAPAN (with English Abstract)			X
	AP	2000-143713	05/26/2000	JAPAN (with English Abstract)			X
	AQ	2000-143715	05/26/2000	JAPAN (with English Abstract)			X
	AR	2000-143716	05/26/2000	JAPAN (with English Abstract)			X
	AS	2000-143717	05/26/2000	JAPAN (with English Abstract)			X
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							